

# Variational Inequalities in Elastoplasticity

## Seminar – Numerical Analysis of Variational Inequalities

Peter Gruber

10/24/2006

Mathematical Modelling

Weak Formulation

# Notation

- ▶ With  $\cdot$  we denote the scalar product of two vector valued quantifiers

$$u \cdot v = u^T v .$$

- ▶ With  $:$  we denote the scalar product of two matrix valued quantifiers

$$A : B = \sum_{i,j} a_{ij} b_{ij} .$$

- ▶ With  $\|\cdot\|_F$  we denote Frobenius' norm of respectively a matrix or vector valued quantifier, i. e.,

$$\|M\|_F = (M : M)^{1/2} \quad \text{and} \quad \|v\|_F = (v \cdot v)^{1/2} .$$

# Linear Elasticity – Classical Formulation

Balance of Momentum	$-\operatorname{div} \boldsymbol{\sigma} = f \quad \text{in } \Omega$
B. Angular Momentum	$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T$
Hook's Law	$\boldsymbol{\sigma} = \mathbb{C} \boldsymbol{\varepsilon}$
Linearized Strain	$\boldsymbol{\varepsilon}(u) = \frac{1}{2} (\nabla u + (\nabla u)^T)$
Boundary Conditions	$u = u_D \quad \text{on } \Gamma_D \quad \text{and} \quad \boldsymbol{\sigma} n = g \quad \text{on } \Gamma_N$

# What is Different in Elastoplasticity?

An elastoplastic problem is time dependent, i. e.,

$$u = u(x,t), \sigma = \sigma(x,t), f = f(x,t), \text{ etc. for } (x,t) \in \Omega \times [0, \mathbf{T}].$$

The balance of momentum would then read

$$\rho \ddot{u} - \operatorname{div} \sigma = f \quad \text{in } \Omega \times [0, \mathbf{T}].$$

Accelerations  $\ddot{u}$  are considered to be very small, such that they can be neglected and we have to solve

$$-\operatorname{div} \sigma = f \quad \text{in } \Omega \times [0, \mathbf{T}].$$

A problem of such kind is said to be *quasistatic*.

# What Else is Different in Elastoplasticity?

The strain  $\varepsilon$  is additively split into parts

$$\varepsilon = e + p.$$

We denote  $e$  the *elastic strain*, and  $p$  the *plastic strain*. Only the elastic strain is associated with the stress tensor by Hook's law

$$\sigma = \mathbb{C}e = \mathbb{C}(\varepsilon - p).$$

We further prescribe the initial conditions

$$u(x, 0) = u_0(x) \quad \text{and} \quad \sigma(x, 0) = \sigma_0(x).$$

## Elastoplasticity – Classical Formulation

Balance of Momentum	$-\operatorname{div} \boldsymbol{\sigma} = f \quad \text{in } \Omega \times [0, T]$
B. Angular Momentum	$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T$
Hook's Law	$\boldsymbol{\sigma} = \mathbb{C}(\boldsymbol{\varepsilon} - p)$
Linearized Strain	$\boldsymbol{\varepsilon}(u) = \frac{1}{2}(\nabla u + (\nabla u)^T)$
Boundary Conditions	$u = u_D \quad \text{on } \Gamma_D \quad \text{and} \quad \boldsymbol{\sigma} n = g \quad \text{on } \Gamma_N$
Initial Conditions	$u(x, 0) = u_0(x) \quad \text{and} \quad \boldsymbol{\sigma}(x, 0) = \boldsymbol{\sigma}_0(x)$

# Missing Prescriptions

- ▶ unknowns: displacement  $u$ , plastic strain  $p$ .
- ▶ We need more prescriptions to determine the plastic strain  $p$ .
- ▶ Physics:  $\sigma = \mathbb{C}(\varepsilon - p)$  must satisfy an **admissibility condition**.
- ▶ Physics: Time development of  $p$  given by the **plastic flow law**.



# Admissibility Condition for the Stress $\sigma$

We introduce the so called *yield function*  $\phi$ , which is a convex mapping into  $\mathbb{R}$ . A stress tensor  $\sigma$  is *admissible* if there holds

$$\phi(\sigma) \leq 0.$$

An example of such yield function would be

$$\phi(\sigma) = \|\text{dev } \sigma\|_F - \sigma_y,$$

where  $\text{dev } \sigma = \sigma - \frac{\sigma : I}{I} I$  and the constant  $\sigma_y > 0$  are said to be the *deviator* and the *yield stress* respectively. The zero-level set of  $\phi$ ,

$$K = \{\sigma : \phi(\sigma) \leq 0\},$$

is called the *set of admissible stresses*.

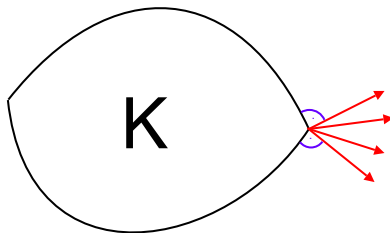
# Plastic Flow Law for the Plastic Strain $p$

The plastic flow law states, that

$$\dot{p} \in \mathcal{N}_K(\sigma),$$

where  $\mathcal{N}_K(\sigma)$  denotes the normal cone of  $K$  in  $\sigma$ :

$$\mathcal{N}_K(\sigma) = \{n : \langle n, \theta - \sigma \rangle \leq 0 \quad \forall \theta \in K\}.$$



## Elastoplasticity – Classical Formulation

Balance of Momentum	$-\operatorname{div} \boldsymbol{\sigma} = f \quad \text{in } \Omega$
B. Angular Momentum	$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T$
Hook's Law	$\boldsymbol{\sigma} = \mathbb{C}(\boldsymbol{\varepsilon} - p)$
Linearized Strain	$\boldsymbol{\varepsilon}(u) = \frac{1}{2}(\nabla u + (\nabla u)^T)$
Boundary Conditions	$u = u_D \quad \text{on } \Gamma_D \quad \text{and} \quad \boldsymbol{\sigma} n = g \quad \text{on } \Gamma_N$
Initial Condition	$u(x, 0) = 0, \quad \boldsymbol{\sigma}(x, 0) = 0$
Admissibility	$\phi(\boldsymbol{\sigma}) \leq 0$
Plastic Flow	$\langle \dot{p}, \boldsymbol{\theta} - \boldsymbol{\sigma} \rangle \leq 0 \quad \forall \boldsymbol{\theta} \in K$

# Equivalent Subgradient Condition

- ▶ We define the *dissipation functional*  $D_\phi$  by

$$D_\phi(\sigma) = \begin{cases} 0 & \text{if } \phi(\sigma) \leq 0, \\ +\infty & \text{else,} \end{cases}$$

- ▶ and the *subgradient* of a function  $f$  as the set

$$\partial f(x) = \{g : f(y) \geq f(x) + \langle g, y - x \rangle \quad \forall y\}.$$

Then, one can show:

$$\underbrace{[\dot{p} \in \mathcal{N}_K(\sigma)] \wedge [\phi(\sigma) \leq 0]}_{\text{dual formulation}} \Leftrightarrow \underbrace{\dot{p} \in \partial D_\phi(\sigma)}_{\text{primal formulation}}.$$

## Elastoplasticity – Classical Formulation

Balance of Momentum	$-\operatorname{div} \sigma = f \quad \text{in } \Omega$
B. Angular Momentum	$\sigma = \sigma^T$
Hook's Law	$\sigma = \mathbb{C}(\varepsilon - p)$
Linearized Strain	$\varepsilon(u) = \frac{1}{2}(\nabla u + (\nabla u)^T)$
Boundary Conditions	$u = u_D \quad \text{on } \Gamma_D \quad \text{and} \quad \sigma n = g \quad \text{on } \Gamma_N$
Initial Condition	$u(x, 0) = 0, \quad \sigma(x, 0) = 0$

$$\text{Primal} \quad \langle \dot{p}, \theta - \sigma \rangle + D_\phi(\sigma) \leq D_\phi(\theta) \quad \forall \theta$$

$$\text{Dual} \quad [\sigma \in K] \wedge [\langle \dot{p}, \theta - \sigma \rangle \leq 0 \quad \forall \theta \in K]$$

# Conjugate Function

## Definition (Conjugate Function)

Let  $X$  be a Banach space,  $X^*$  its topological dual, and  $f \in X^*$ . Then, the function  $f^* : H^* \rightarrow \mathbb{R}$  is said to be *conjugate* to  $f$  if for all  $x^* \in X^*$  there holds

$$f^*(x^*) = \sup_{x \in X} (\langle x^*, x \rangle - f(x)).$$

## Theorem

*Let some assumptions be satisfied. Then there holds*

$$x^* \in \partial f(x) \Leftrightarrow x \in \partial f^*(x^*).$$

# Equivalent Primal Formulation

## Corollary

*Thanks to the previous theorem, there holds*

$$\begin{aligned} \langle \dot{p}, \theta - \sigma \rangle + D_\phi(\sigma) &\leq D_\phi(\theta) \quad \forall \theta \\ \Leftrightarrow \langle \sigma, q - \dot{p} \rangle + D_\phi^*(\dot{p}) &\leq D_\phi^*(q) \quad \forall q \end{aligned}$$

## Proof.

$$\begin{aligned} \langle \dot{p}, \theta - \sigma \rangle + D_\phi(\sigma) &\leq D_\phi(\theta) && \forall \theta \\ \Leftrightarrow \dot{p} &\in \partial D_\phi(\sigma) \\ \Leftrightarrow \sigma &\in \partial D_\phi^*(\dot{p}) \\ \Leftrightarrow \langle \sigma, q - \dot{p} \rangle + D_\phi^*(\dot{p}) &\leq D_\phi^*(q) && \forall q \end{aligned}$$



## Elastoplasticity – Classical Formulation

Balance of Momentum	$-\operatorname{div} \sigma = f \quad \text{in } \Omega$
B. Angular Momentum	$\sigma = \sigma^T$
Hook's Law	$\sigma = \mathbb{C}(\varepsilon - p)$
Linearized Strain	$\varepsilon(u) = \frac{1}{2}(\nabla u + (\nabla u)^T)$
Boundary Conditions	$u = u_D \quad \text{on } \Gamma_D \quad \text{and} \quad \sigma n = g \quad \text{on } \Gamma_N$
Initial Condition	$u(x, 0) = 0, \quad \sigma(x, 0) = 0$

Primal  $\sigma : (q - \dot{p}) + D_\phi^*(\dot{p}) \leq D_\phi^*(q) \quad \forall q$

Dual  $[\sigma \in K] \wedge [\langle \dot{p}, \theta - \sigma \rangle \leq 0 \quad \forall \theta \in K]$



Mathematical Modelling

Weak Formulation

# Function Spaces

- ▶  $V = [H^1(\Omega)]^3$
- ▶  $V_D = \{v \in V : v = u_D \text{ on } \Gamma_D\}$
- ▶  $V_0 = \{v \in V : v = 0 \text{ on } \Gamma_D\}$
- ▶  $Q = [L_2(\Omega)]_{\text{sym}}^{3 \times 3}$
- ▶  $u \in V_D; \quad \dot{u} \in V_0; \quad p, \sigma \in Q$

# Primal Variational Formulation

The unknowns are  $(u, p)$ . Integration of the primal condition yields

$$\int_{\Omega} D_{\phi}^*(\dot{p}) \, dx + \int_{\Omega} \mathbb{C}(\varepsilon(u) - p) : (q - \dot{p}) \, dx \leq \int_{\Omega} D_{\phi}^*(q) \, dx$$

$$\forall q \in Q.$$

We multiply the balance law with  $v - \dot{u}$ , where  $v \in V_D$ , combine it with  $\sigma = \mathbb{C}(\varepsilon(u) - p)$  and integrate by parts (cf. variational equation of linear elasticity):

$$\int_{\Omega} \mathbb{C}(\varepsilon(u) - p) : (\varepsilon(v) - \varepsilon(\dot{u})) \, dx = \int_{\Omega} f \cdot (v - \dot{u}) \, dx + \int_{\Gamma_N} g \cdot (v - \dot{u}) \, ds$$

$$\forall v \in V_D.$$

# Abstract Primal Variational Formulation

We define

- ▶  $w = (u, p) \in V_D \times Q$
- ▶  $z = (v, q) \in V_0 \times Q$
- ▶  $a_1(w, z) = \int_{\Omega} \mathbb{C}(\varepsilon(u) - p) : q \, dx$
- ▶  $a_2(w, z) = \int_{\Omega} \mathbb{C}(\varepsilon(u) - p) : \varepsilon(v) \, dx$
- ▶  $a(w, z) = a_1(w, z) + a_2(w, z)$
- ▶  $j(z) = \int_{\Omega} D_{\phi}^*(q) \, dx$
- ▶  $l(z) = \int_{\Omega} f \cdot v \, dx + \int_{\Gamma_N} g \cdot v \, ds$

# Abstract Primal Variational Formulation

Then we have to solve:

## Problem

Find  $w \in V_D \times Q$  with  $\dot{w} \in V_0 \times Q$  such, that  $w$  satisfies initial conditions and for all  $z \in V_0 \times Q$  there hold

$$\begin{aligned} a_1(w, \dot{w} - z) + j(\dot{w}) - j(z) &\leq 0 \\ a_2(w, \dot{w} - z) + l(\dot{w} - z) &= 0, \end{aligned}$$

or equivalently (elementary calculation),

$$a(w, \dot{w} - z) + j(\dot{w}) - j(z) + l(\dot{w} - z) \leq 0.$$

Problem to show unique solvability: **time derivatives**.

# Time Discretization

- ▶  $[0, T] \rightsquigarrow \{0 = t_0, t_1, t_2, \dots, t_{n-1}, t_n = T\}$
- ▶  $t_i - t_{i-1} = k \quad \forall i \in 1, \dots, n$
- ▶ define  $w_i(x) = w(x, t_i)$  and  $z_i(x) = z(x, t_i)$  a.e.
- ▶ approximate  $\dot{w}_i \approx \frac{w_i - w_{i-1}}{k}$
- ▶ redefine  $a_1$ ,  $a_2$ ,  $j$  and  $l$  properly

## Problem

Find  $w_i \in V_D \times Q$  such, that for all  $z \in V_D \times Q$  there hold

$$a(w_i, w_i - z) + j(w_i) - j(z) + l(w_i - z) \leq 0 \quad \forall z \in V_D \times Q.$$

Notice, the quantifiers  $a_1$ ,  $a_2$ ,  $j$  and  $l$  depend on the solution of the last time step,  $w_{i-1}$ . At the initial time step,  $w_0$  is set according to the initial conditions.

# Dual Variational Formulation

We now derive a dual variational formulation (**mixed form**). In the classical formulation of the dual problem, we prescribed  $\sigma \in K$  and

$$\langle \dot{p}, \theta - \sigma \rangle \leq 0 \quad \forall \theta \in K.$$

The latter condition can be reformulated due to  $\dot{p} = \dot{\varepsilon} - \mathbb{C}^{-1} \dot{\sigma}$ ,

$$\langle \dot{\varepsilon} - \mathbb{C}^{-1} \dot{\sigma}, \theta - \sigma \rangle \leq 0 \quad \forall \theta \in K. \quad (1)$$

So we have to find  $(u, \sigma)$ , such that  $\sigma \in K$ , and the balance of momentum,  $-\operatorname{div} \sigma = f$ , and expression (1) are satisfied.

# Dual Variational Formulation

We multiply the balance of momentum with a test function  $v \in V_0$ , integrate it by parts, and also integrate (1) over  $\Omega$ . Then, by the definitions

- ▶  $\mathcal{K} = \{\sigma \in Q : \sigma(x) \in K \text{ a.e.}\}$
- ▶  $a(\sigma, \theta) = \int_{\Omega} \mathbb{C}^{-1} \sigma : \theta \, dx$
- ▶  $b(v, \theta) = - \int_{\Omega} \varepsilon(v) : \theta \, dx$
- ▶  $l(v) = - \int_{\Omega} f \cdot v \, dx - \int_{\Gamma_N} g \cdot v \, ds$

we obtain the following problem:



# Dual Variational Formulation

## Problem

Find  $(u, \sigma) \in V_D \times Q$  with  $\dot{u} \in V_0$  and  $\sigma \in \mathcal{K}$ , such that the initial conditions are satisfied and there holds

$$\begin{aligned} b(v, \sigma) &= l(v) \quad \forall v \in V_0 \\ a(\dot{\sigma}, \sigma - \theta) + b(\dot{u}, \sigma - \theta) &\leq 0 \quad \forall \theta \in \mathcal{K}. \end{aligned}$$

Again, by time discretization as done before, and proper redefinitions of  $a$ ,  $b$  and  $l$  we obtain

## Problem

Find  $(u_i, \sigma_i) \in V_D \times Q$  with  $\sigma_i \in \mathcal{K}$ , such that there holds

$$\begin{aligned} b(v, \sigma_i) &= l(v) \quad \forall v \in V_0 \\ a(\sigma_i, \sigma_i - \theta) + b(u_i, \sigma_i - \theta) &\leq 0 \quad \forall \theta \in \mathcal{K}. \end{aligned}$$

Thank you for your attention!