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# (T)HB-SPLINES



# (T)HB-SPLINES



INTRODUCTION

### Local spline refinement

Problem: Classical tensor-product B-splines do not allow local refinement.



Task: Knot refinement in the marked areas.



Resulting mesh for B-splines.



How can we achieve a mesh like this?

### **Existing constructions**

T-splines (Sederberg et al. 2003):

tensor-product B-splines defined on a mesh with T-junctions

PHT-splines (Falai Chen, Jiansong Deng 2008):

algebraically complete basis for splines on a mesh with T-junctions

**HB-splines, THB-splines** (Kraft 1997, Giannelli et al. 2012): obtained by selecting B-splines from different levels in a hierarchy

**LR-splines** (Dokken et al. 2010): constructed by repeatedly splitting tensor-product B-splines

This talk focuses on *HB-splines*.

# (T)HB-SPLINES



A HIERARCHICAL B-SPLINE BASIS

Consider a finite sequence of

- Insteed spline spaces,  $V^0 \subseteq V^1 \subseteq \ldots \subseteq V^N$ , with  $V^{\ell} = \operatorname{span} B^{\ell}$ ,
- corresponding nested domains,  $\Omega = \Omega^0 \supseteq \Omega^1 \supseteq \ldots \supseteq \Omega^N$ .

The index  $\ell$  is called *level*.



A hierarchical mesh and the domains  $\Omega^0$  (green),  $\Omega^1$  (blue) and  $\Omega^2$  (red).

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#### Kraft's selection mechanism

$$\operatorname{supp} f = \{ \mathbf{x} : f(\mathbf{x}) \neq 0 \text{ and } \mathbf{x} \in \Omega^0 \}$$

#### **Recursive construction of HB-splines**

1) Initialization: 
$$H^0 = \{\beta \in B^0 : \operatorname{supp} \beta \neq \emptyset\}$$

2) Recursion: 
$$H^{\ell+1} = H_A^{\ell+1} \cup H_B^{\ell+1}$$
, for  $\ell = 0, ..., N - 1$ , with

$$H_A^{\ell+1} = \{ \beta \in H^\ell : \operatorname{supp} \beta \not\subseteq \Omega^{\ell+1} \},\$$

and

$$H_B^{\ell+1} = \{\beta \in B^{\ell+1} : \operatorname{supp} \beta \subseteq \Omega^{\ell+1}\}$$

**3**)  $H = H^N$ 



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# (T)HB-SPLINES



TRUNCATION

#### **Restoring partition of unity**

HB-splines: no partition of unity  $\rightarrow$  solution: *truncation mechanism* (cf. Giannelli et al. 2012)

<u>Refinement relation</u>: For  $f \in V^{\ell}$  we have  $f = \sum_{\beta \in B^{\ell+1}} c_{\beta}^{\ell+1}(f)\beta$ . Truncation:

$$\operatorname{trunc}^{\ell+1} f = \sum_{\beta \in B^{\ell+1}, \operatorname{supp} \beta \not\subseteq \Omega^{\ell+1}} c_{\beta}^{\ell+1}(f) \beta.$$

#### Truncated hierarchical B-spline basis:

1) Initialization: 
$$T^0 = H^0$$

2) Recursion:  $T^{\ell+1} = T_A^{\ell+1} \cup T_B^{\ell+1}$ , for  $\ell = 0, \ldots, N-1$ , with

$$T_A^{\ell+1} = \{ \operatorname{trunc}^{\ell+1} \tau : \tau \in T^\ell \text{ and } \operatorname{supp} \tau \not\subseteq \Omega^{\ell+1} \}, \quad \text{and} \quad T_B^{\ell+1} = H_B^{\ell+1}$$

**3)**  $T = T^N$ 

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# **Comparing THB- and HB-splines**



THB-splines

# **Comparing THB- and HB-splines**



THB-splines

**HB-splines** 

# (T)HB-SPLINES



PROPERTIES

# Properties of (T)HB-splines

HB-splines:

non-negativity

linear independence

■ under certain assumptions: span $H = \mathcal{H} = \{h : \Omega^0 \to \mathbb{R} : h|_{\Omega^0 \setminus \Omega^{\ell+1}} \in S^{\ell}(M^{\ell})\}$ 

Additionally for THB-splines:

- $\blacksquare \ \mathrm{span}H = \mathrm{span}T$
- preservation of coefficients
- partition of unity
- strongly stable under supremum norm

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#### **Quasi-interpolant for (T)HB-splines**

References: Speleers et al. 2015, Speleers 2016

A one-level quasi-interpolant  $\Pi^{\ell} : \mathcal{V}(\Omega^0) \mapsto V^{\ell}$ 

$$\Pi^{\ell} f = \sum_{i=1}^{n_{\ell}} \lambda_i^{\ell}(f) \beta_i^{\ell}, \quad \ell = 0, \dots, N.$$

 $\begin{array}{l} \lambda_i^\ell \text{ is supported on } \Lambda_i^\ell \text{ if } f|_{\Lambda_i^\ell} = 0 \Rightarrow \lambda_i^\ell(f) = 0. \text{ For a cell } Q^\ell \text{ in } \Omega^\ell \setminus \Omega^{\ell+1} \\ \text{define} \end{array}$ 

$$\Lambda_{Q^{\ell}} = \operatorname{conv} \left( \bigcup_{(i,\ell): \operatorname{supp} \tau_i^{\ell} \cap Q^{\ell} \neq \emptyset} \Lambda_i^{\ell} \cup Q^{\ell} \right).$$

Hierarchical quasi-interpolant  $\Pi: \mathcal{V}(\Omega^0) \mapsto \mathcal{H}$ 

$$\Pi f := \sum_{\ell=0}^{N} \sum_{\tau_i^\ell \in T} \lambda_i^\ell(f) \tau_i^\ell,$$

where  $\tau_i^{\ell} = \operatorname{trunc}^N \left( \operatorname{trunc}^{N-1} \cdots \left( \operatorname{trunc}^{\ell+1} \beta_i^{\ell} \right) \cdots \right).$ 

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# **Quasi-interpolant for (T)HB-splines**

Representation in terms of HB-splines (using telescoping argument): **Theorem:**  $\Pi^{\ell}$  and  $\Pi$  as defined before. If  $\Pi^{\ell}s = s$  for all  $s \in V^{\ell}$  then

$$\Pi f = \sum_{\ell=0}^{N} f^{(\ell)},$$

with

$$f^{(0)} = \sum_{\beta_i^0 \in H} \lambda_i^0 \beta_i^0, \quad f^{(\ell)} = \sum_{\beta_i^\ell \in H} \lambda_i^\ell (f - f^{(0)} - f^{(1)} - \dots - f^{(\ell-1)}) \beta_i^\ell.$$

Error estimate:

$$||D^{\alpha}(f - \Pi f)||_{L^{2}(Q^{\ell})} \leq Ch_{\ell}^{s-|\alpha|}|f|_{H^{s}(\Lambda_{Q^{\ell}})}.$$

# (T)HB-SPLINES



SUMMARY

### Summary

- Selection mechanism for hierarchical B-splines
- Restoring partition of unity with truncation mechanism
- (T)HB-splines have nice mathematical properties
- (T)HB-splines are a basis for the hierarchical spline space
- Quasi-interpolant and local approximation estimate

# **PATCHWORK B-SPLINES**



# **PATCHWORK B-SPLINES**



INTRODUCTION

### Motivation



Independent refinement strategies  $\rightsquigarrow$  cannot be achieved with HB-splines  $\rightsquigarrow$  hierarchical refinement leads to redundant dof

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### Motivation

State of the art: Hierarchical B-splines that use sequences of *nested* spline spaces,  $V^0 \subseteq V^1 \subseteq \ldots \subseteq V^N$ .

Limitation: Independent refinement strategies are not possible.

#### Possible application of independent refinement strategies:

- Modeling: designing objects with creases or similar features.
- IGA: using different refinement techniques (e.g., *h* and *p*-refinement) in different parts of the domain.

**Goal:** Generalization of the selection mechanism for hierarchical B-splines to obtain sequences of *partially nested* hierarchical spline spaces, that use spline spaces such as  $V^0 \subseteq V^1 \not\subseteq V^2 \subseteq V^3 \dots$ 

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#### Preliminaries

We consider:

■ A finite sequence of bivariate tensor-product spline spaces:

$$V^{\ell} = \operatorname{span} B^{\ell}, \quad \ell = 1, \dots, N.$$

□ Note:  $V^{\ell}$  not necessarily subspace of  $V^{\ell+1}$ □ For simplicity: d = 2, uniform degrees, maximum smoothness

An associated sequence of open sets

$$\pi^{\ell} \subseteq (0,1)^2, \quad \ell = 1, \dots, N.$$

□ The sets are called *patches*.

 $\Box$  We assume that they are mutually disjoint, i.e.,  $\pi^{\ell} \cap \pi^{k} \neq \emptyset \Rightarrow \ell = k$ .

#### **Preliminaries**



Patches and associated spline spaces.

#### The patchwork spline space

Collecting all patches results in the *domain*  $\Omega$ , i.e.,

$$\Omega = \operatorname{int}\left(\bigcup_{\ell=1}^{N} \overline{\pi^{\ell}}\right) \subseteq (0,1)^{2}.$$

Now we define the *patchwork spline space* :

$$\mathcal{P} = \{ f \in \mathcal{C}^{\mathbf{s}}(\Omega) : f|_{\pi^{\ell}} \in V^{\ell}|_{\pi^{\ell}} \ \forall \ell = 1, \dots, N \},\$$

with maximal order of smoothness

$$\mathbf{s} = \mathbf{p} - 1.$$



#### The patchwork spline space

Definition:  $\mathcal{P} = \{ f \in \mathcal{C}^{\mathbf{s}}(\Omega) : f|_{\pi^{\ell}} \in V^{\ell}|_{\pi^{\ell}} \quad \forall \ell = 1, \dots, N \}$  $f \in V^1|_{\pi^1}$  $f \in V^4|_{\pi^4}$  $\pi^4$  $\pi^1$  $\pi^3$  $\pi^2$  $f \in V^3|_{\pi^3}$  $f \in V^2|_{\pi^2}$  $C^{s}$ -smooth functions (1) (2) patch restriction belongs to assoc. spline space

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# **PATCHWORK B-SPLINES**



**BASIS FUNCTIONS** 

The *constraining boundary* of a patch

$$\Gamma^{\ell} = \bigcup_{k=1}^{\ell-1} \overline{\pi^k} \cap \overline{\pi^\ell},$$

is the part of the boundary shared with patches of a lower level.

| $\pi^3$ | $\pi^4$ |         | $\pi^1$ |
|---------|---------|---------|---------|
| $\pi^5$ |         | $\pi^2$ | $\pi^6$ |

Constraining boundaries of  $\pi^1$ 

The *constraining boundary* of a patch

$$\Gamma^{\ell} = \bigcup_{k=1}^{\ell-1} \overline{\pi^k} \cap \overline{\pi^\ell},$$

is the part of the boundary shared with patches of a lower level.



Constraining boundaries of  $\pi^2$ 

The *constraining boundary* of a patch

$$\Gamma^{\ell} = \bigcup_{k=1}^{\ell-1} \overline{\pi^k} \cap \overline{\pi^\ell},$$

is the part of the boundary shared with patches of a lower level.



Constraining boundaries of  $\pi^4$ 

The *constraining boundary* of a patch

$$\Gamma^{\ell} = \bigcup_{k=1}^{\ell-1} \overline{\pi^k} \cap \overline{\pi^\ell},$$

is the part of the boundary shared with patches of a lower level.



Constraining boundaries of  $\pi^5$ 

#### Selection mechanism

We generalize Kraft's selection mechanism:

$$K^{\ell} = \{ \beta^{\ell} \in B^{\ell} : \beta^{\ell}|_{\pi^{\ell}} \neq 0 \quad \text{and} \quad \beta^{\ell}|_{\Gamma^{\ell}} = 0 \}.$$

**Definition:** The *patchwork B-splines* (PB-splines) are obtained by forming the union over all levels,

$$K = \bigcup_{\ell=1}^{N} K^{\ell}.$$



#### Selection mechanism



$$K^{\ell} = \{ \beta^{\ell} \in B^{\ell} : \beta^{\ell} |_{\pi^{\ell}} \neq 0 \text{ and } \beta^{\ell} |_{\Gamma^{\ell}} = 0 \}.$$

The selection mechanism for PB-splines ( $k < \ell$ ).

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### Shadow

We define the shadow of a patch  $\pi^\ell$  as the union of all supports of the selected basis functions,

$$\hat{\pi}^{\ell} = \operatorname{supp} K^{\ell} = \bigcup_{\beta^{\ell} \in K^{\ell}} \operatorname{supp} \beta^{\ell}.$$





#### **Example: Shadows and meshes**

The knot lines of the spline space  $V^{\ell}$  define a **mesh**  $M^{\ell}$  of level  $\ell$ .



A patchwork mesh.

Shadow and selected basis functions for two levels. (points: selected B-splines, shadow: hatched area)

# **PATCHWORK B-SPLINES**



CHARACTERIZING THE SPLINE SPACE

### Shadow Compatibility Assumption (SCA)

**Assumption** If the shadow  $\hat{\pi}^{\ell}$  of the patch of level  $\ell$  intersects another patch  $\pi^k$  of a different level k, then the first level precedes the second one,

 $\pi^1$ 



SCA satisfied.

SCA not satisfied.

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# Shadow Compatibility Assumption (SCA)

**Assumption** If the shadow  $\hat{\pi}^{\ell}$  of the patch of level  $\ell$  intersects another patch  $\pi^{k}$  of a different level k, then the first level precedes the second one,



Theorem: SCA implies linear independence of PB-splines.

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# **Constraining Boundary Alignment (CBA)**

**Assumption** For each level  $\ell$ , the constraining boundary  $\Gamma^{\ell}$  of the patch  $\pi^{\ell}$  is aligned with the knot lines of the spline space  $V^{\ell}$ .



CBA not satisfied.



CBA satisfied.

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#### Space characterization

**Theorem** The PB-splines span the patchwork spline space  $\mathcal{P}$  if both SCA and CBA are satisfied.

Thus, we have *two different characterizations* of the patchwork spline space:

$$\mathcal{P} = \{ f \in \mathcal{C}^{\mathbf{s}}(\Omega) : f|_{\pi^{\ell}} \in V^{\ell}|_{\pi^{\ell}} \ \forall \ell = 1, \dots, N \},\$$

("implicit" definition: space defined by properties of functions)

$$\mathcal{P} = \operatorname{span} \bigcup_{\ell=1}^{N} \{ \beta^{\ell} \in B^{\ell} : \beta^{\ell} |_{\pi^{\ell}} \neq 0 \quad \text{and} \quad \beta^{\ell} |_{\Gamma^{\ell}} = 0 \}$$

("constructive" definition: space defined as linear hull of basis functions)

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# **Restoring partition of unity**

#### Truncation mechanism

Recall: Hierarchical B-splines  $\rightarrow$  Truncated Hierarchical B-splines

#### The recipe:

Truncated function: "original function *minus* contribution of selected basis functions from higher levels"

#### Is there a generalization to truncated PB-splines?

# **Restoring partition of unity**

#### **Truncation mechanism**

Recall: Hierarchical B-splines  $\rightarrow$  Truncated Hierarchical B-splines

#### The recipe:

Truncated function: "original function *minus* contribution of selected basis functions from higher levels"

#### Is there a generalization to truncated PB-splines? Yes!

#### Truncated PB-splines

- are linearly independent,
- form a partition of unity,
- are non-negative and
- span the patchwork spline space.

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# **PATCHWORK B-SPLINES**



**PB-SPLINES IN SURFACE APPROXIMATION** 

### Surface approximation problem

Given: data set  $(f_i, \mathbf{x}_i), i = 0, \dots, m$ ,

 $\Box$  coordinates of data points  $f_i \in \mathbb{R}^3$ ,

 $\square$  associated parameters  $\mathbf{x}_i \in [0, 1]^2$ .

■ Choose a setting that generates the PB-splines *K*:

- $\Box$  patches  $\pi^1, \ldots, \pi^N$  and
- $\Box$  spline spaces  $V^1, \ldots, V^N$ .

Compute the least squares approximation  $f = \sum_{\beta \in K} c_{\beta}\beta$ , which minimizes

$$\sum_{i=0}^{m} \|f_i - f(\mathbf{x}_i)\|^2.$$

How to choose the patches and corresponding spline spaces?

- Manual construction
- Automatic refinement process

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# Example I

We want to approximate the following function:



Function for approximation.

#### Manual mesh generation



The meshes used for defining the approximating spline functions.

|                          | no. of dof | % of dof | max. error | average error |
|--------------------------|------------|----------|------------|---------------|
| tensor-product B-splines | 2916       | 100%     | 3.08e-3    | 1.5e-4        |
| HB-splines               | 2468       | 85 %     | 3.08e-3    | 1.02e-4       |
| PB-splines               | 1572       | 54 %     | 1.03e-3    | 6.94e-5       |

Numerical results of the least-squares approximation.



### Automatic mesh refinement

 $\blacksquare$  Initial setting defining  $K_0$ 

- $\Box$  patches  $\pi^1, \ldots, \pi^{N_0}$  and  $\Box$  spline spaces  $V_0^1, \ldots, V_0^{N_0}$ .

Compute least squares approximation for K<sub>0</sub>

#### Marking process:

- $\square$  Identify those  $\mathbf{x}_i$  with  $||f_i f(\mathbf{x}_i)|| > \varepsilon$ ,
- $\Box$  find the patches that contain  $\mathbf{x}_i$ ,
- mark them for refinement.

#### Refinement process:

- $\square$  *n*-adic subdivision of the marked patches,
- □ (poss. new marking process),
- □ knot refinement in the corresponding spline spaces,
- ensure that all assumptions are satisfied.
- Challenge: Determine the direction of the refinement

### Determining the refinement direction

Determining the refinement direction with a *local fitting-based* method:

- Perform local fitting on patches  $\pi^{\ell}$ .
- Try different refinement strategies, e.g., uniform knot refinement in *x* vs. in *y*-direction.
- The strategy that performs better, i.e., produces less error, determines the refinement direction.

Advantages:

- No assumptions on data,
- simple.

Disadvantages:

■ Could become slow if too many strategies are tested.

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### Automatic mesh refinement - results





PB-spline mesh after 4 steps of adaptive refinement and resulting surface.

|            | no. of dof | % of dof | max. error | average error |
|------------|------------|----------|------------|---------------|
| HB-splines | 1860       | 100 %    | 3.08e-3    | 1.42e-4       |
| PB-splines | 1106       | 59 %     | 1.12e-3    | 1.31e-4       |



### Automatic mesh refinement - results





HB-spline mesh after 3 steps of adaptive refinement and resulting surface.

|            | no. of dof | % of dof | max. error | average error |
|------------|------------|----------|------------|---------------|
| HB-splines | 1860       | 100 %    | 3.08e-3    | 1.42e-4       |
| PB-splines | 1106       | 59 %     | 1.12e-3    | 1.31e-4       |



# Example II





PB-spline mesh after 4 steps of adaptive refinement and resulting surface.

|            | no. of dof | % of dof | max. error | average error |
|------------|------------|----------|------------|---------------|
| HB-splines | 2688       | 100 %    | 1.01e-3    | 1.56e-4       |
| PB-splines | 1169       | 43 %     | 1.06e-3    | 1.47e-4       |



# Example II





HB-spline mesh after 3 steps of adaptive refinement and resulting surface.

|            | no. of dof | % of dof | max. error | average error |
|------------|------------|----------|------------|---------------|
| HB-splines | 2688       | 100 %    | 1.01e-3    | 1.56e-4       |
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# **PATCHWORK B-SPLINES**



SUMMARY AND OUTLOOK

### Summary

- Generalization of the Kraft selection mechanism from hierarchical B-splines to PB-splines
- Characterization of the spline space spanned by the PB-splines
- Introduction of a truncation mechanism → partition of unity
- Application of PB-splines to surface approximation
- Automatic refinement algorithm for PB-spline meshes
- PB-splines enable the use of independent refinement strategies
- PB-splines need fewer degrees of freedom than HB-splines

#### Current work and outlook

- Generalizing the completeness result from HB-splines to PB-splines
- Generalizing the approximation error estimates from HB-splines to PB-splines
- Implementation of the truncation mechanism
- Development of further automatic mesh refinement strategies
- Application in industry
  - $\hfill \ensuremath{\square}$  Fitting of structural components like airfoils  $\rightarrow$  periodic fitting
  - □ Lofting

